**Investment Incentive Program in the State of Espírito Santo**

**INVEST-ES**

**Inclusion of Industrial Project - New Enterprise**

GENERAL ORIENTATIONS:

* We comunicate that this **application in English is purely informative**, which means that the **official application that will be sent to ES government needs to be in Portuguese**. According to Brazilian Law.
* Forward this application entirely filled up, through the E-Docs System, to SECTIDES/SUBCOMP;

Attach the following required documents:

1. Clearance Certificate, before the State Treasury of Espírito Santo; or Clearance Certificate, before the State Treasury where the headquarters establishment is located;
2. Company's number of identification in Brazil (CNPJ);
3. Articles of Incorporation/Consolidated Articles of Incorporation;
4. SINTEGRA;
5. NF-e (invoice) of the investments made referring to the project to be classified (if any);
6. Attach power of attorney[[1]](#footnote-1) , in the event that the Applicant's legal representative is not included in the articles of incorporation/bylaws;
7. Proof of DUA payment[[2]](#footnote-2) referring to the Request Fee for Analysis of Invest-ES Projects and proof of payment.
8. List of other documents that the Applicant deems necessary for project analysis*.*

 *[Company's Logo]*

**APPLICATION FOR MEMBERSHIP IN THE INVEST-ES OF**

**NEW ENTERPRISE**

To

Secretariat of Development, Espírito Santo - SEDES

Under Secretary of Competitiveness and Structuring Project

Mr. / Mrs. Under Secretary.

The business company *[company - corporate name],*on this occasion "the Applicant", established in the municipality of *[municipality]*, State *[Federal Unit]*, with electronic address *[Applicant's email and website, if any],*comes to require to Mr. / Mrs. Under Secretary inclusion of the Project of *[briefly describe the project],*presented in an attachment by the Applicant, in the Investment Incentive Program in Espírito Santo – INVEST-ES, established by Law No. 10,550, of July 1st, 2016 and its subsequent amendments.

The project has an estimated investment of R$ *[total investment in the project]*and foresees the generation of *[n° expected direct jobs* *]*own direct jobs, as shown in the attached project.

For this, the Applicant gathers the requested documents, as provided for in article 7 of Law 10,550/2016.

Under these terms, it asks for approval.

City/State, \_\_\_ of \_\_\_\_\_ of 20\_\_.

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*(Signature of the partner with management powers in the Articles of Incorporation must be the same person who signs in the E-DOCS System)*

*List of attached documents:*

1. *[In this space, the Applicant must list all documents that will be included in the e-Docs]*

 **INCLUSION OF PROJECT IN THE INVEST-ES PROGRAM**

**NEW ENTERPRISE**

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| **1 – TYPE OF PROJECT** |

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| **1.1** Mark with “X” which type of project the inclusion request refers to.  |
|  Implementation of a new project. Expansion/expansion of production capacity. Modernization of the industrial plant. Diversification of the production process. |

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| **2 –  APPLICANT COMPANY** |

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| **2.1 – Company Name of the Beneficiary Company** |
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|  | Company established in Espírito Santo |  | Company not yet established in this State |

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| **2.2 – Company ID (CNPJ)** | **2.3 - State Registration** |
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| **2.4 – Brief History of the Company or the Economic Group** |
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| **2.5 – Applicant's Location** |
| Street, No. |  |
| **District** |  |
| **City** |  |
| **ZIP code** |  |

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| **2.6 – Share Capital** (If there is some new more to complement, inform the amount and the date). |
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| **2.7 – Partners / Managers / Shareholders** |
| **Name/ID** | **% of capital/shares** |
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| **2.8 – Legal Responsible.** If there is more than one, please add one more frame – ATTENTION! Check the validity of the power of attorney. |
| Full Name |  |
| ID |  |
| Position in the company |  |
| Phone number |  |
| *E-mail* |  |

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| **2.9 – Person to Contact.**Person appointed by the company for clarification and representing it through the E-Docs System. |
| Full Name |  |
| ID |  |
| Position in the company  |  |
| Phone number |  |
| E-mail |  |
| Adress | Street, No: |
|  | District: |
|  | Municipality: |
|  | State: |
|  | ZIP Code: |

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| **2.10 – Beneficiary company and/or group company is under judicial recovery?**If so, inform which one. If there is more than one, insert lines. |
| **Company:** |  |
| **Company ID (CNPJ):** |  |
| **Judicial process:** |  |

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| **3 – PROJECT** |

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| **3.1 – Purpose of the project.**Describe in detail the purpose of the project and which products the company will produce (end products). |
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| **3.2 – Adress of the project**  |
| Street, No. |  |
| **District** |  |
| **Municipality** |  |
| **ZIP Code** |  |

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| **3.3 – Products and Economic Activity.**Describe the final products to be produced and their respective **National Classification of Economic Activities (CNAE)** and **NCM (HS Code)**. |
| Product (description and NCM) | Main and Secondary CNAE |
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| **3.4 – Product(s).**Specify the final product, market and maximum production capacity. Inform the unit of measure. |
| **Product(s) - NCM** | **Main buyer segments (CNAE and description)** | **Maximum annual production capacity** |
| *[Product/NCM]* |  |  |

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| **3.5 – Production Process.**Describe the production process, from the raw material(s) and input(s) to the output of the final product(s).  |
| Product 1 *[Product/NCM]*: |
| Product 2 *[Product/NCM]*: |

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| **3.6 – Current phase of the project.** |
|  Project |
|  Started/ In Progress |
|  Concluded |

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| **3.7 – Launch of in the investment or forecast and investment completion forecast.**Inform month/year. |
| Start: *[month/year]*.Conclusion: *[month/year].* |

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| **3.8 – Probable start of partial and/or total operation.** |
| **Product(s) or Product Lines - NCM** | **Partial Operation** | **Full Operation** |
| **Percentage of productive capacity** | **Month/year entry into partial operation** | **Percentage of productive capacity** | **Month/year full start-up** |
| *[Product/NCM]* | *[%]* | *[month/year]* | 100% | *[month/year]* |

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| **3.9 – Origin and Application of Project Resources Table.**If needed, include other rows and columns. Do not place Working Capital values. |
| **Application of Resources.**Do not abbreviate values. |
| **Description/Items** | **Total investment** | **Accomplished** | **To be performed Year 1***[year]* | **To be performed Year 2***[year]* |
| **I - Fixed Investments** |  |  |  |  |
| Civil works |  |  |  |  |
| National machinery and equipment |  |  |  |  |
| Imported machinery and equipment |  |  |  |  |
| Complementary installations*[Describe in detail what the Applicant considered under this heading]* |  |  |  |  |
| Mounting |  |  |  |  |
| Shipping/insurance |  |  |  |  |
| Furniture and utensils |  |  |  |  |
| Vehicles |  |  |  |  |
| Technology |  |  |  |  |
| Others *[Describe]* |  |  |  |  |
| **TOTAL INVESTMENT** |  |  |  |  |
| **Origin (Source) of Resources.**Do not abbreviate values. |
| Own resources of the group |  |  |  |  |
| Third-Party Resources [detail] |  |  |  |  |
| **TOTAL INVESTMENT** |  |  |  |  |

**\* If there are investments already made, fill in as detailed in the spreadsheet in annex II.**

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| **3.10 – Origins of machinery and equipment – ​​Purchasing.**Estimate of the gross values ​​of purchases of machinery and equipment provided for in item 3.9 – Application of resources. Do not abbreviate values. |
| **Source** | **Year 1** *[year]* | **Year 2** *[year]* | **Year 3** *[year]* |
| **%** | **R$** | **%** | **R$** | **%** | R$ |
| Espírito Santo |  |  |  |  |  |  |
| Other states |  |  |  |  |  |  |
| Other countries |  |  |  |  |  |  |
| **Total** | **100,00** |  | **100,00** |  | **100,00** |  |

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| **3.11 – Origin of raw materials and inputs.**Describe each raw materials and inputs and where they were purchased. |
| **Raw Material / Input**(NCM) | **Source**  |
| *[raw material/input/NCM]* | *[State/Country]* |
| *[raw material/input/NCM]* | *[State/Country]* |
| *[raw material/input/NCM]* | *[State/Country]* |
| *[raw material/input/NCM]* | *[State/Country]* |
| *[raw material/input/NCM]* | *[State/Country]* |

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| **3.12 – Origins of raw materials and inputs – Purchasing.**Estimate of gross purchase values. Consider the 12 months from the month of entry into operation. Specify Year 1 (first year of operation), Year 2 (second year of operation) and in total installed capacity. Do not abbreviate values. |
| **Source** | **Year 1** *[year]* | **Year 2** *[year]* | **Total Installed Capacity***[year]* |
| **%** | **R$** | **%** | **R$** | **%** | R$ |
| Espírito Santo |  |  |  |  |  |  |
| Other states |  |  |  |  |  |  |
| Other countries |  |  |  |  |  |  |
| **Total** | **100,00** |  | **100,00** |  | **100,00** |  |

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| **3.13 – Services contracted due to the project operation.**Describe which services will be contracted. Example: security, maintenance, food etc. Describe whether they will be hired in ES, in other states or in other countries. |
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| **3.14 – Services contracted due to the project operation.** Estimate of the gross values ​​of service contracts. Specify Year 1 (first year of operation), Year 2 (second year of operation) and in total installed capacity. Do not abbreviate values. |
| **Source** | **Year 1** *[year]* | **Year 2** *[year]* | **Total Installed Capacity***[year]* |
| **%** | **R$** | **%** | **R$** | **%** | R$ |
| Espírito Santo |  |  |  |  |  |  |
| Other states |  |  |  |  |  |  |
| Other countries |  |  |  |  |  |  |
| **Total** | **100,00** |  | **100,00** |  | **100,00** |  |

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| **3.15 – Gross Sales Revenue (production destination).**Gross sales estimated values ​​from the month of entry into operation. Specify Year 1 (first year of operation), Year 2 (second year of operation) and in total installed capacity. Do not abbreviate values. |
| **Source** | **Year 1** *[year]* | **Year 2** *[year]* | **Total Installed Capacity***[year]* |
| **%** | **R$** | **%** | **R$** | **%** | R$ |
| Espírito Santo |  |  |  |  |  |  |
| Other states |  |  |  |  |  |  |
| Other countries |  |  |  |  |  |  |
| **Total** | **100,00** |  | **100,00** |  | **100,00** |  |

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| **3.16 – Companies in Espírito Santo with similar production.**List companies located in Espírito Santo that produces the same or similar product(s) to those the company produces or will produce. |
| **Product to be produced** | **Company in ES with the same or similar product** |
| *[Product/NCM]* |  |
| *[Product/NCM]* |  |
| *[Product/NCM]* |  |

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| **4 – TAX REPERCUSSIONS, ENVIRONMENTAL AND SOCIAL IMPACT** |

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| **4.1 – Taxes.**Estimated annual collection with the project. Specify Year 1 (first year of operation), Year 2 (second year of operation), and Year 3 (third year of operation). Do not abbreviate values. |
| **Type** | **Year 1** *[year]* | **Year 2** *[year]* | **Year 3** *[year]* |
| Estimated payment of ICMS if the company achieves the required benefits |  |  |  |
| Estimated payment of ICMS if you do not have INVEST-ES benefits |  |  |  |
| Estimated collection of ISS, for the municipality where the project establishment is located, if any. |  |  |  |

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| **4.2 – Environment.**Comment on the environmental aspects of the project. Indicate the destination of waste arising from the production process. Inform whether the company will implement a project for the treatment of industrial waste or a reused water treatment unit or use of clean energy. |
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| **4.3 – Technological Innovation.**Comment on the aspects of the project regarding the implementation/development of technological innovation. Describe innovative solutions, processes, machines and equipment that will be applied to the project's production process. |
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| **4.4 – Jobs generated in the implementation of the project.**These are the jobs generated in the construction of the project (until its entry into operation) |
| **Discrimination** | **The amount** |
| Own jobs created |   |
| Third-party jobs created |  |
| **Total** |  |

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| **4.5 – Jobs created with the company project.**These are jobs created from the company's operation. They are people who work within the industrial unit (own or third-party jobs). Specify: Year 1 (first year of operation), Year 2 (second year of operation) and in total installed capacity. |
| **Discrimination** | **The amount** |
| **Year 1***[year]* | **Year 2***[year]*   | **Total Installed Capacity***[year]* |
| Own jobs created | Higher education |  |  |  |
| Medium level |  |  |  |
| Others |  |  |  |
| Third-party jobs generated |  |  |  |
| **Total** |  |  |  |

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| **4.6 – Internships.**Specify: Year 1 (first year of operation), Year 2 (second year of operation) and in total installed capacity. |
| **Discrimination** | **The amount** |
| **Year 1***[year]*   | **Year 2***[year]*   | **Total Installed Capacity***[year]* |
| Higher Education Interns |  |  |  |
| Middle Level Interns |  |  |  |
| **Total** |  |  |  |

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| **5 – ADDITIONAL INFORMATION** |

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| **5.1 – Comments about the importance of the project for the development of Espírito Santo and other information that it deems pertinent** |
| *[In this space, the Applicant must also present in detail any information on social, environmental, educational projects, etc., that the company and/or economic group develops].* |

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| **6 – BENEFITS CLAIMED** |

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| **6.1 – Mark (with x) or describe the benefits claimed in accordance with Law 10,550/2016:** |
|  | **Alínea a, do inciso I, do artigo 3º (Paragraph a, of item I, of article 3)**: Deferral of payment of ICMS levied on foreign import operations of machinery and equipment intended for integration in the establishment's fixed assets; |
|  | **Alínea b, do inciso I, do artigo 3º (Paragraph b, of item I, of Article 3):**Deferral of payment of ICMS due as a rate differential, levied on interstate transactions for the acquisition of machinery and equipment intended for integration in the establishment's fixed assets; |
|  | **Alínea c, do inciso I, do artigo 3º** (**Paragraph c, of item I, of article 3):** Deferral of payment of ICMS levied on import operations from abroad of inputs and raw materials, intended exclusively for the importing industrial establishment, for the time when the output of the products resulting from its industrialization, subject to the provisions of item “d”; |
|  | **Alínea d, do inciso I, do artigo 3º (Paragraph d, of item I, of article 3):**Deferral of payment of ICMS levied on internal output operations of machinery and equipment intended for companies linked to the INVEST-ES Program, for integration in fixed assets; |
|  | **Alínea e, do inciso I, do artigo 3º (Paragraph e, of item I, of Article 3):**Deferral of payment of ICMS levied on internal operations with raw materials and inputs, intended exclusively for industrial establishments linked to INVEST-ES, for the time when the output of products resulting from its industrialization;; |
|  | **Inciso II, do artigo 3º (Item II of Article 3):**Exemption from ICMS on transactions not covered by the deferral of goods or goods acquired by the beneficiary intended exclusively for the construction or expansion of the enterprise, being prohibited the use of the benefit in relation to acquisitions intended for the operation of the enterprise; |
|  | **Inciso III, do artigo 3º (Item III, of Article 3):**Presumed credit in interstate transactions, up to the limit of seventy percent of the monthly tax due, relating to transactions covered by this benefit, subject to the provisions of § 6; |
|  | **Alínea a, do inciso IV, do artigo 3º (Paragraph a, of item IV, of Article 3):**Reduction of the ICMS tax base on internal operations, up to the limit of seventy percent of its respective value, subject to the provisions of § 6; |
|  | **Inciso VI, do artigo 3º (Item VI of Article 3):**describe and justify... |
|  | **Others:**describe and justify... |

1. The power of attorney can be validated through a digital signature of one of the partners included in the articles of association. The validity and legal admissibility of the digital signature are guaranteed DECREE No. 4410-R, OF APRIL 18th, 2019, which provides for the use of electronic and non-presential means for the citizen's interaction with the State and the carrying out of administrative proceedings within the scope bodies and entities of the State Executive Power. See model in Attach I. [↑](#footnote-ref-1)
2. See guidelines for issuing the Project Analysis Application Fee – INVEST-ES in Attach III. [↑](#footnote-ref-2)